

09/23/2002 13:18 51033: 17

LDJAO

PAGE 03/08

Attorney Docket No.: 21-000730US

**COPY FROM PARENT**  
**DECLARATION**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **NOVEL SYNERGISTIC ANTIMICROBIAL COMPOSITIONS AND METHODS** the specification of which      is attached hereto or   x   was filed on July 1, 2002 as Application No. 10/188,020, and was amended on                      (if applicable).

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)**

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/302,950	July 2, 2001
60/302,815	July 3, 2001

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

09/23/2002 13:18

510 7877

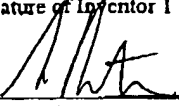
LOJAO

PAGE 04/08

Attorney Docket No.: 21-000730US

Full Name of Inventor 1:	Full Name: <b>Alex Hutcheson</b>		
Residence & Citizenship:	City: <b>Dublin</b>	State/Foreign Country: <b>California</b>	Country of Citizenship <b>United States</b>
Post Office Address:	Post Office Address: <b>5050 Hacienda Dr., #2312, Dublin, California 94568</b>		
Full Name of Inventor 2:	Full Name: <b>Christopher Hawk</b>		
Residence & Citizenship:	City: <b>Mill Creek</b>	State/Foreign Country: <b>Washington</b>	Country of Citizenship <b>United States</b>
Post Office Address:	Post Office Address: <b>15320 Mill Creek Blvd. #BB101, Mill Creek, Washington 98012</b>		
Full Name of Inventor 3:	Full Name: <b>Frank Swenson</b>		
Residence & Citizenship:	City: <b>Alameda</b>	State/Foreign Country: <b>California</b>	Country of Citizenship <b>United States</b>
Post Office Address:	Post Office Address: <b>262 Ratto Road, Alameda, California 94502</b>		

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1 	Signature of Inventor 2	Signature of Inventor 3
<b>Alex Hutcheson</b>	<b>Christopher Hawk</b>	<b>Frank Swenson</b>
Date <b>9-23-02</b>	Date	Date

declaration.doc

**DECLARATION**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **NOVEL SYNERGISTIC ANTIMICROBIAL COMPOSITIONS AND METHODS** the specification of which    is attached hereto or   x   was filed on July 1, 2002 as Application No. 10/188,020, and was amended on                      (if applicable).

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)**

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:



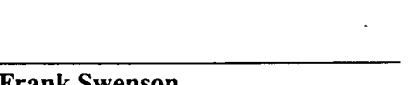
Application No.	Filing Date
60/302,950	July 2, 2001
60/302,815	July 3, 2001

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

Full Name of Inventor 1:	Full Name: <b>Alex Hutcheson</b>		
Residence & Citizenship:	City: <b>Dublin</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>United States</b>
Post Office Address:	Post Office Address: <b>5050 Hacienda Dr., #2312, Dublin, California 94568</b>		
Full Name of Inventor 2:	Full Name: <b>Christopher Hawk</b>		
Residence & Citizenship:	City: <b>Mill Creek</b>	State/Foreign Country: <b>Washington</b>	Country of Citizenship: <b>United States</b>
Post Office Address:	Post Office Address: <b>15320 Mill Creek Blvd. #BB101, Mill Creek, Washington 98012</b>		
Full Name of Inventor 3:	Full Name: <b>Frank Swenson</b>		
Residence & Citizenship:	City: <b>Alameda</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>United States</b>
Post Office Address:	Post Office Address: <b>262 Ratto Road, Alameda, California 94502</b>		

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
		
<b>Alex Hutcheson</b>	<b>Christopher Hawk</b>	<b>Frank Swenson</b>
Date	Date <b>9/3/02</b>	Date

Attorney Docket N .: 21-000730US

# DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **NOVEL SYNERGISTIC ANTIMICROBIAL COMPOSITIONS AND METHODS** the specification of which      is attached hereto or   x   was filed on July 1, 2002 as Application No. 10/188,020, and was amended on                      (if applicable).

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

## Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/302,950	July 2, 2001
60/302,815	July 3, 2001



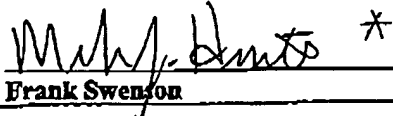
I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

Attorney Docket No.: 21-000730US

Full Name of Inventor 1:	Full Name: Alex Hutcheson		
Residence & Citizenship:	City: Dublin	State/Foreign Country: California	Country of Citizenship: United States
Post Office Address:	Post Office Address: 5050 Hacienda Dr., #2312, Dublin, California 94568		
Full Name of Inventor 2:	Full Name: Christopher Hawk		
Residence & Citizenship:	City: Mill Creek	State/Foreign Country: Washington	Country of Citizenship: United States
Post Office Address:	Post Office Address: 15320 Mill Creek Blvd. #BB101, Mill Creek, Washington 98012		
Full Name of Inventor 3:	Full Name: Frank Swenson		
Residence & Citizenship:	City: Alameda	State/Foreign Country: California	Country of Citizenship: United States
Post Office Address:	Post Office Address: 262 Ratto Road, Alameda, California 94502		

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
		
Alex Hutcheson	Christopher Hawk	Frank Swenson
Date	Date	Date 9/24/02

\* On behalf of  
Frank Swenson

declaration.doc

## COPY FROM PARENT

(Modified) PTO/SB/81 (11-86)  
Approved for use through 6/30/99. OMB 0651-0035

<b>POWER OF ATTORNEY OR AUTHORIZATION OF AGENT, NOT ACCOMPANYING APPLICATION</b>	Application Number	10/188,020
	Filing Date	July 1, 2002
	First Named Inventor	Alex Hutcheson
	Group Art Unit	1616
	Examiner Name	Unassigned
	Attorney Docket Number	21-000730US

I hereby appoint:

☒ Practitioners at Customer Number

22798

Type Customer Number Here

OR

Practitioner(s) named below:



Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

THAT IS:

Firm or Individual Name	Quine Intellectual Property Law Group, P.C.				
Address	P.O. Box 458				
Address					
City	Alameda	State	CA	Zip	94501
Country	United States				
Telephone	(510) 337-7871	Fax	(510) 337-7877		

I am the

☐ Applicant.☒ Assignee of record of the entire interest (Certificate under 37 CFR 3.73(b) is enclosed)

SIGNATURE of Applicant or Assignee of Record

Name	Michael J. Hunter
Signature	<i>Michael J. Hunter</i>
Date	7/25/02

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 09-25-02

Typed or printed name	Evelyn Gomez		
Signature	<i>Evelyn Gomez</i>	Date	09-25-02

## COPY FROM PARENT

PTO/SB/98 (2-88)  
Approved for use through 09/30/2000. OMB 0851-0031

## STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Alex Hutchens n, et al.Application No.: 10/188,020Filed: July 1, 2002Entitled: NOVEL SYNERGISTIC ANTIMICROBIAL COMPOSITIONS AND METHODSSureCide Technologies, LLC, a

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. [X] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

OR

B. [ ] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet

[X] Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

9/25/02

Date

Michael J. Hunter

Signature

Michael J. Hunter

Typed or printed name

VP Finance & CFO

Title





UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

COPY FROM PARENT

RECEIVED  
JAN 14 2003  
emy

Quine Intellectual Property Law Group, P.C.

JANUARY 07, 2003

PTAS

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

QUINE INTELLECTUAL PROPERTY  
ANGELA P. HORNE, PH.D.  
P.O. BOX 458  
ALAMEDA, CA 94501



\*102238194A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF  
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS  
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER  
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE  
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA  
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD  
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY  
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.  
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,  
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,  
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/25/2002

REEL/FRAME: 013331/0893  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HUTCHESON, ALEX

DOC DATE: 09/23/2002

ASSIGNOR:

HAWK, CHRISTOPHER

DOC DATE: 09/03/2002

ASSIGNEE:

SURECIDE TECHNOLOGIES, LLC  
850 MARINA VILLAGE PARKWAY  
ALAMEDA, CALIFORNIA 94501

SERIAL NUMBER: 10188020  
PATENT NUMBER:

FILING DATE: 07/01/2002  
ISSUE DATE:

SHARON BROOKS, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

10-02-2002



Attorney Docket No. 21-000730US

FORM PTO-1595

(Rev. 6-93)

102238194

To the Honorable Asst. Commissioner for Patents.

1. Name of conveying party(ies):

Alex Hutcheson  
Christopher Hawk

9-30-02

Additional name(s) of conveying parties attached? No

3. Nature of conveyance:

X Assignment Merger

☐ Security Agreement ☐ Change of Name

☐ Other:

Execution Date: September 23, 2002 and September 3, 2002

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 10/188,020

Additional numbers attached? No

B. Patent No(s):

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

QUINE INTELLECTUAL PROPERTY  
LAW GROUP, P.C.  
P.O. Box 458  
Alameda, CA 94501  
(510) 337-7871

6. Total number of applications and patents involved

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed X Charge Fees to Deposit Account

X Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 50-0893

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*Angela P. Horne, Ph.D.  
Name of Person Signing

  
Signature
09/25/02  
Date

Atty Reg. No. 41,079

Total number of pages including cover sheet, attachments and document 6

10. Change Correspondence Address to that of Part 5? X Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents  
Box: Assignments  
Washington, D.C. 20231
 OFFICE OF PUBLIC RECORDS  
 2002 SEP 30 PM 1:53  
 FINANCE SECTION

9/01/2002 BTOM11 00000099 500893 10188020

1 FC:581 40.00 CH

Attorney Docket No.: 21-000730US  
Client Reference No.: SCD-007-PAT1-US

### ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Alex Hutcheson, of 5050 Hacienda Dr., #2312, Dublin, CA 94568, Christopher Hawk of 15320 Mill Creek Blvd. #BB101, Mill Creek, WA 98012, and Frank Swenson of 262 Ratto Road, Alameda, California 94502, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: NOVEL SYNERGISTIC  
ANTIMICROBIAL COMPOSITIONS  
AND METHODS

Filing Date: July 1, 2002

Application No.: 10/188,020; and

WHEREAS, SureCide Technologies, LLC, located at 850 Marina Village Parkway, Alameda, California 94501, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

09/23/2002 13:18

5103\_ 377

LOJAD

PAGE 06/08

Assignment  
Application No.: 10/188,020  
Page 2

IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated: 9-23-02

  
Alex Hutcheson

SIGNATURE WITNESSED BY:

(1) Date: \_\_\_\_\_

\_\_\_\_\_  
Signature of Witness 1

Dated: \_\_\_\_\_

\_\_\_\_\_  
Christopher Hawk

SIGNATURE WITNESSED BY:

(1) Date: \_\_\_\_\_

\_\_\_\_\_  
Signature of Witness 1

Dated: \_\_\_\_\_

\_\_\_\_\_  
Frank Swenson

SIGNATURE WITNESSED BY:

(1) Date: \_\_\_\_\_

\_\_\_\_\_  
Signature of Witness 1

**ASSIGNMENT OF PATENT APPLICATION**

JOINT

WHEREAS, Alex Hutcheson, of 5050 Hacienda Dr., #2312, Dublin, CA 94568, Christopher Hawk of 15320 Mill Creek Blvd. #BB101, Mill Creek, WA 98012, and Frank Swenson of 262 Ratto Road, Alameda, California 94502, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:   NOVEL                               SYNERGISTIC  
                          ANTIMICROBIAL               COMPOSITIONS  
                          AND METHODS

Filing Date:                       July 1, 2002

Application No.:               10/188,020; and

WHEREAS, SureCide Technologies, LLC, located at 850 Marina Village Parkway, Alameda, California 94501, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated: \_\_\_\_\_


\_\_\_\_\_  
Alex Hutcheson

SIGNATURE WITNESSED BY:

(1) Date: \_\_\_\_\_


\_\_\_\_\_  
Signature of Witness 1

Dated: 9/3/02

  
Christopher Hawk

SIGNATURE WITNESSED BY:

(1) Date: 9/3/02

  
Signature of Witness 1

Dated: \_\_\_\_\_

\_\_\_\_\_  
Frank Swenson

SIGNATURE WITNESSED BY:

(1) Date: \_\_\_\_\_

\_\_\_\_\_  
Signature of Witness 1